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# On the origin of the $F^+$ centre radioluminescence in sapphire

A. Morono, E.R. Hodgson \*

*Euratom / CIEMAT Fusion Association, Avenida Complutense 22, 28040 Madrid, Spain*

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## Abstract

Electron irradiation of sapphire produces primarily F centres, in marked contrast with neutron and ion irradiations where both F and  $F^+$  centres are produced. However, radioluminescence studies during electron irradiation indicate bands associated with both F and  $F^+$  centres. These emission bands show a complex dependence on dose rate and temperature. A systematic study of the radioluminescence spectra as a function of these two parameters together with optical absorption measurements indicate that the ionization of F centres is the cause of the  $F^+$  centre radioluminescence. A model describing the way the  $F^+$  emission occurs is proposed and compared with the results obtained. © 1997 Elsevier Science B.V.

## 1. Introduction

For many years  $\alpha\text{-Al}_2\text{O}_3$  has been considered a material of technological interest for diverse applications such as dielectric substrates for SOS structures, solid state lasers, UV windows, radiation dosimeters and, more recently, fusion energy devices. As a consequence of this interest numerous papers have been published concerning the defects in  $\alpha\text{-Al}_2\text{O}_3$ . In these studies undoubtedly the point defects which have received most attention are the so-called F and  $F^+$  centres (oxygen vacancies with two and one trapped electron, respectively).

Oxygen vacancies in  $\alpha\text{-Al}_2\text{O}_3$  result from either thermochemical reduction during vacuum heat treatment [1] or from lattice displacement events during particle irradiation [2–9]. Optical absorption measurements have shown that when sapphire (single crystal  $\alpha\text{-Al}_2\text{O}_3$ ) is irradiated with neutrons, protons, or other ions, both F and  $F^+$  centres are produced [2–8]. However, when sapphire is irradiated with electrons essentially only F centres are produced, as evidenced by the single absorption band at 6.03 eV [2,3,9]. In apparent contradiction with the optical absorption results, radioluminescence measurements for electron irradiated sapphire show luminescence bands at 3.0 and 3.8 eV corresponding to both F and  $F^+$  centres [9–11]. In view of the fact that radioluminescence measurements are now

being employed as a tool to study oxygen vacancy production in sapphire it is essential that these differences be understood [10–16].

The aim of this paper is to clarify the origin of the  $F^+$  centre radioluminescence in electron irradiated sapphire and to reconcile the above apparent contradiction. To achieve this objective a systematic study of the F and  $F^+$  radioluminescence bands as a function of dose rate and temperature has been made. A model describing how the  $F^+$  radioluminescence occurs is proposed and then used to explain the results obtained.

## 2. Experimental procedure

The work reported here has been performed in a chamber mounted in the beam line of a 2 MeV Van de Graaff accelerator, in which a Union Carbide UV grade sapphire sample has been irradiated with 1.8 MeV electrons. The sample, approximately  $5 \times 5 \times 1 \text{ mm}^3$  in size, was placed sandwich-like between the faces of a double oven and irradiated edge-on through a  $3 \times 1 \text{ mm}^2$  collimator on to one of the  $5 \times 1 \text{ mm}^2$  faces. Two  $3 \times 1 \text{ mm}^2$  windows cut in the oven enable in situ measurements of optical absorption and radioluminescence to be made perpendicular to the irradiation direction at a distance of 1 to 2 mm behind the irradiated face. The sample may be heated from 15 to 650°C and maintained at any temperature to within 1°C.

\* Corresponding author.

The sample was initially irradiated at 50°C, 3  $\mu\text{A cm}^{-2}$  for 14 h to produce oxygen vacancies. During irradiation, radioluminescence spectra were taken to monitor the increase in the oxygen vacancy concentration. After 14 h the change with irradiation time in the radioluminescence spectra was slow enough to enable a study to be carried out as a function of dose rate (beam current) and irradiation temperature. In this study radioluminescence spectra at beam currents of between 0.025 and 10  $\mu\text{A cm}^{-2}$  for temperatures between 50 and 200°C were taken. Each recorded spectrum took approximately 3 min and the total integrated dose was observed to have no measurable effect on the reference spectrum recorded following the initial 14 h of irradiation, as evidenced by a further reference spectrum taken at the end of the experiment. In addition, optical absorption spectra were recorded before and after irradiation.

**3. Results**

Fig. 1 shows radioluminescence spectra for the initial part of the experiment at 50°C, 3  $\mu\text{A cm}^{-2}$  for different irradiation times in which one observes the growth of bands at about 410 (3.0 eV) and 329 nm (3.8 eV) corresponding to F and F<sup>+</sup> centres, respectively [17], together with a decrease in the Ga<sup>3+</sup> emission at 250 nm [18]. Following 14 h of irradiation very little further change was observed for these bands. In Figs. 2 and 3 radioluminescence spectra at 50°C for 0.25 and 10  $\mu\text{A cm}^{-2}$  following the initial 14 h irradiation are given. One observes that the relative amounts of F and F<sup>+</sup> emission depend on the beam current (dose rate). This dependence is more clearly seen in Fig. 4 where radioluminescence spectra for three different dose rates are presented, normalised to unit dose rate. We observed that the normalised F<sup>+</sup> emission increases and the normalised F emission decreases on increasing the dose rate. Exactly the reverse behaviour was

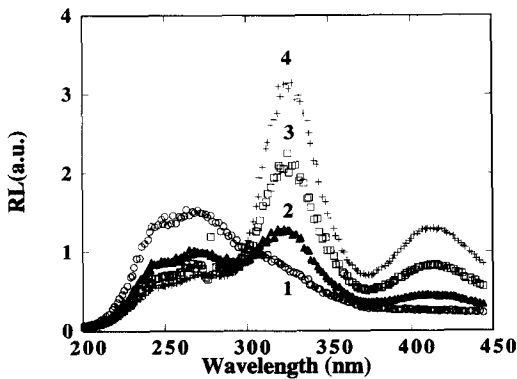


Fig. 1. Radioluminescence spectra for sapphire irradiated at 50°C, 3  $\mu\text{A cm}^{-2}$  after irradiation for; 1 min (1), 30 min (2), 110 min (3) and 240 min (4).

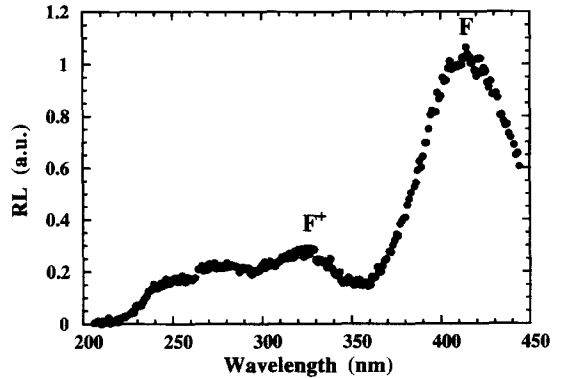


Fig. 2. Radioluminescence spectrum taken at 50°C and 0.25  $\mu\text{A cm}^{-2}$  following 14 h of irradiation at 50°C, 3  $\mu\text{A cm}^{-2}$ . The F radioluminescence band is much larger than the F<sup>+</sup> band.

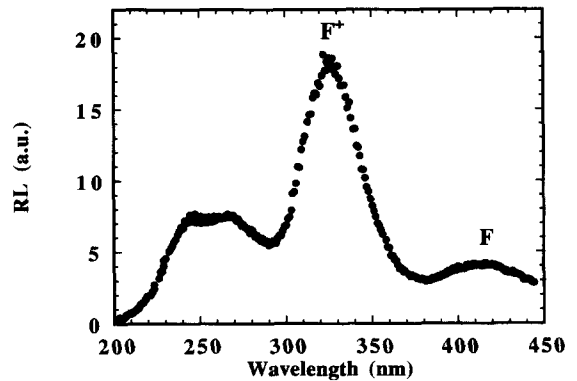


Fig. 3. Radioluminescence spectrum taken at 50°C and 10  $\mu\text{A cm}^{-2}$  following 14 h of irradiation at 50°C, 3  $\mu\text{A cm}^{-2}$ . The F radioluminescence band is much smaller than the F<sup>+</sup> band.

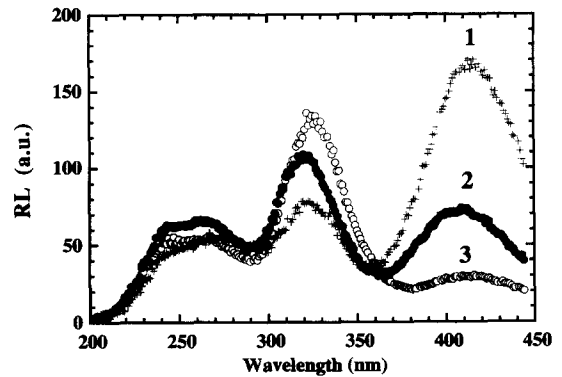


Fig. 4. Radioluminescence spectra normalized to dose rate (intensity divided by beam current), taken at 50°C for 0.5 (1), 2.5 (2) and 10  $\mu\text{A cm}^{-2}$  (3) after 14 h irradiation at 50°C and 3  $\mu\text{A cm}^{-2}$ .

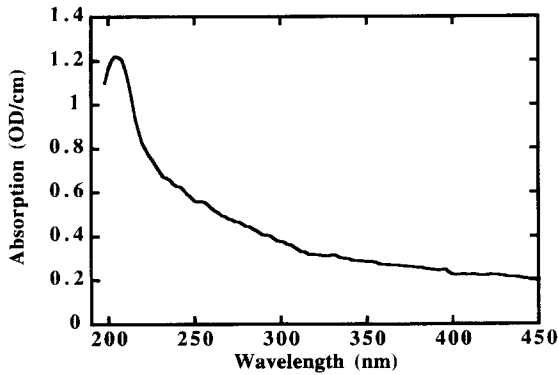


Fig. 5. Optical absorption spectrum for sapphire after 14 h irradiation at 50°C and  $3 \mu\text{A cm}^{-2}$ . Only the F centre band at about 205 nm is visible.

observed on decreasing the dose rate. Very similar results were obtained for irradiations at 100, 150 and 200°C. These results clearly indicate that the F emission intensity depends sub-linearly on dose rate while the  $F^+$  intensity has a superlinear dependence.

The optical absorption spectrum taken at the end of the experiment can be seen in Fig. 5. A band at 206 nm due to F centres is clearly observed [2] corresponding to a density of approximately  $10^{16}$  centres/cm<sup>3</sup>. But there is no indication above the background of optical absorption bands at about 229 or 256 nm associated with  $F^+$  centres [2,4].

#### 4. Discussion

The optical absorption measurements (Fig. 5) show that essentially only F centres are produced when sapphire is irradiated with electrons, in agreement with previous results [2,3,10]. However, as may be seen in Figs. 1–4, both F and  $F^+$  radioluminescence bands are observed during irradiation. It is clear from Figs. 2–4 that these two emission bands show a complex dependence on dose rate, with the intensity of the  $F^+$  emission increasing super-linearly with dose rate while the F centre emission increases sub-linearly with dose rate. This behaviour, together with the reversibility on decreasing dose rate and the absence of  $F^+$  centres in the optical absorption, suggests that the origin of the  $F^+$  centre radioluminescence is related to the ionization of F centres. One is at first tempted to envisage the  $F^+$  radioluminescence as a simple three-step process in which; firstly one F centre is ionised becoming an  $F^+$  centre, then this  $F^+$  centre is excited and, finally, it de-excites emitting a 3.8 eV photon. However such a process is questionable as it requires two consecutive energy depositions at the oxygen vacancy to occur on a time scale less than the time between vacancy ionization and localization at the defect of an electron from the conduction band, which is known to be  $< 10^{-12}$  s [19].

The above problem may be overcome if we take into account the mechanism for energy absorption by the lattice and the way  $F^+$  to F centre conversion occurs. In insulating materials radiation is mainly absorbed by the excitation of electrons from the valence band into the conduction band producing electrons and holes [20]. These either recombine or migrate through the lattice where they interact with defects. The interaction of a hole with an F centre produces an  $F^+$  centre. This  $F^+$  centre rapidly ( $< 10^{-12}$  s) traps an electron from the conduction band to form an  $F^*$  centre (excited F centre). The  $F^*$  centre will then de-excite emitting a 3.0 eV photon (F centre radioluminescence).

However the  $F^*$  centre has a lifetime of the order of tens of milliseconds related to the strongly forbidden triplet to singlet transition [9,17]. This long lifetime will increase the probability that a further hole may recombine at the  $F^*$  centre before the centre de-excites. Such an interaction between a hole and the ground state electron leaves an excited  $F^+$  centre ( $F^{++}$ ). In this case the transition to the ground state is allowed and de-excitation occurs rapidly with a lifetime of less than 8 ns, emitting a 3.82 eV photon ( $F^+$  centre radioluminescence) [5]. We can now use this model to obtain a relationship between the intensities of the radioluminescence bands associated with F and  $F^+$  centres.

The processes involved are

- (1)  $F + h/e \rightarrow F^*$ : Excitation of the F centre by electron and hole capture;
- (2)  $F^* \rightarrow F + h\nu_F$ : De-excitation of the  $F^*$  giving F luminescence;
- (3)  $F^* + h \rightarrow F^{++}$ : Ionization of the  $F^*$  by hole capture giving  $F^{++}$ ;
- (4)  $F^{++} \rightarrow F^+ + h\nu_{F^+}$ : De-excitation of the  $F^{++}$  giving  $F^+$  luminescence;
- (5)  $F^{++} + e \rightarrow F^*$ : Reconversion of  $F^+$  to  $F^*$  by electron capture.

Fig. 6 gives a sequential flow diagram for these processes. We can see that the  $F^+$  radioluminescence  $RL_{F^+}$  (steps 3 and 4) comes from the ionization of the  $F^*$  and is directly proportional to the number of  $F^{++}$  and as all the  $F^{++}$  de-excite to give  $F^+$  we can write

$$RL_{F^+} = AN_{F^{++}}, \quad (1)$$

where  $A$  is a constant and  $N_{F^{++}}$  is the  $F^{++}$  concentration.

In contrast, the F radioluminescence  $RL_F$  (step 2) which comes from the de-excitation of the  $F^*$  is not directly proportional to the number of  $F^*$  because these can either de-excite (step 2) or convert to  $F^{++}$  (step 3). In this case  $RL_F$  is proportional to the number of  $F^*$  and inversely proportional to the probability of  $F^*$  to  $F^{++}$  conversion, which is proportional to the hole production rate, i.e., the dose rate  $\Phi$ . Hence we have

$$RL_F = BN_{F^*} / \Phi, \quad (2)$$

where  $B$  is a constant and  $N_{F^*}$  is the  $F^*$  concentration.

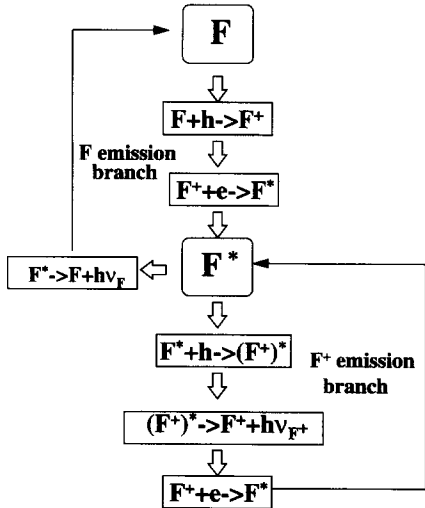


Fig. 6. Flow diagram for the F and F<sup>+</sup> luminescence processes.

Once steady state conditions are reached, which also requires that the oxygen vacancy concentration is constant or varies only very slowly with time, the numbers of F, F\*, F<sup>+</sup>, and F<sup>++</sup> centres are constant. Under these conditions the number of F\* centres converted to F<sup>++</sup> centres per unit time (step 3) has to be equal to the number of F<sup>++</sup> centres reconvertng via F<sup>+</sup> to F\* centres (steps 4 and 5). The former is proportional to the F\* centre concentration ( $N_{F^*}$ ) and ionizing dose rate  $\Phi$ , while the latter is proportional to the F<sup>+</sup> centre concentration ( $N_{F^+}$ ) and the concentration of the unpaired electrons promoted to the conduction band during the conversion of F to F<sup>+</sup>, which is also equal to  $N_{F^+}$ . Thus the steady state rate equation

$$N_{F^*} \cdot \Phi = C(N_{F^+})^2, \tag{3}$$

where  $C$  is a constant.

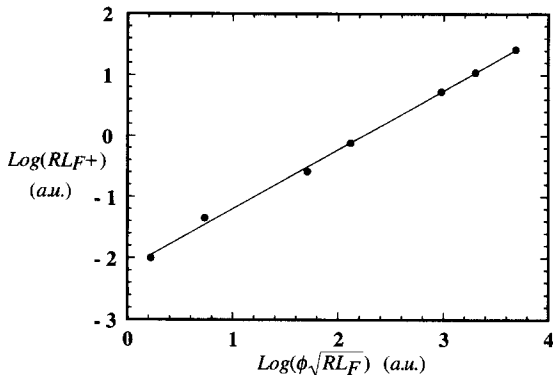


Fig. 7. Log–log plot of the height of the F<sup>+</sup> radioluminescence band versus the product of the dose rate (beam current) and the square root of the height of the F radioluminescence band at 50°C, for beam currents from 0.025 to 10  $\mu\text{A cm}^{-2}$ . The straight line fit is of slope 1.

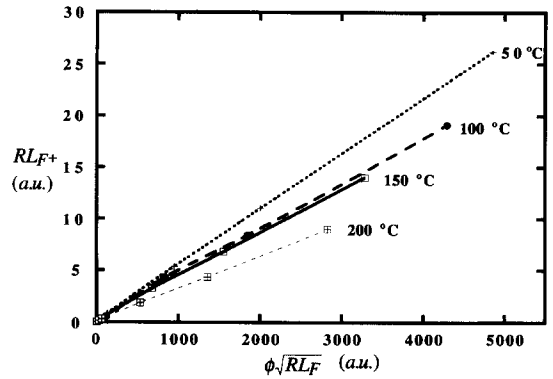


Fig. 8. Plot of the height of the F<sup>+</sup> radioluminescence band versus the product of the dose rate and the square root of the height of the F radioluminescence band at 50, 100, 150 and 200°C, for beam currents from 0.025 to 10  $\mu\text{A cm}^{-2}$ .

If we now substitute for  $N_{F^*}$  and  $N_{F^+}$  from Eqs. (1) and (2) we obtain

$$RL_{F^+} = D\Phi\sqrt{RL_F} \text{ where } D = A/I(BC), \text{ a constant.} \tag{4}$$

Hence we see from Eq. (4) that if the above model is essentially correct, a plot of the height of the F<sup>+</sup> radioluminescence band versus the product of the dose rate and the square root of the height of the F radioluminescence band should be a straight line. As may be seen in Figs. 7 and 8 this prediction is fulfilled over a wide dose rate range, and for various temperatures. The effect of the temperature on the slope (Fig. 8) may be due to a differential decrease in the F and F<sup>+</sup> band heights on increasing temperature [10], or a possible decrease in the F\* lifetime with increasing temperature [21].

It is therefore clear that the F<sup>+</sup> radioluminescence observed during the electron irradiation of sapphire is not a measure of stable F<sup>+</sup> centres, but is a consequence of the long lifetime of the F\* centre and its ionization to give F<sup>+</sup> centres. Furthermore, it is important to notice that the experimentally observed F radioluminescence intensity also cannot be used as an indication of the F centre concentration.

### 5. Conclusions

The results presented here show that F and F<sup>+</sup> radioluminescence depend in a complex way on the ionizing dose rate. The observation of F<sup>+</sup> luminescence does not indicate the presence of stable F<sup>+</sup> centres, and the F luminescence cannot be used as a direct indication of the F centre concentration. A model describing the way F and F<sup>+</sup> centre radioluminescence occurs has been developed. This model predicts a complex dependence with dose rate of the F and F<sup>+</sup> centre radioluminescence, which has been experimentally observed.

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